

# Respiratory Disease Diagnosis Through Comprehensive Analysis of Spectrograms of Lung Sounds

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## ABSTRACT

The study proposes a method to assist physicians who are not respiratory specialists to diagnose specific diseases from lung sounds without advanced medical equipment. The method produces a spectrogram that illustrates changes in frequency features for auscultatory sounds to comprehensively detect fine crackles, abnormal sounds implying a disease. It also estimates the degree of disease progression, examining the phase of respiration during which the abnormal sounds occur. The method constructs a model for detecting fine crackles using the machine learning algorithm XGboost. The experimental results have shown that the accuracy of detecting fine crackles is 0.89. Since the method visually presents the area where the abnormal sound is occurring, it provides easier explanations for not only non-specialist physicians but also patients.

**Keywords:** Auscultation, Interstitial pneumonia, Segment, Progression estimation, Respiratory phase

## INTRODUCTION

Currently, lung diseases are generally diagnosed by physicians using a stethoscope. Auscultation with a stethoscope is a diagnostic method that can be performed easily and quickly in any medical setting (Hafke-Dys et al. 2019). By analysing the data obtained from the digital stethoscope, it is possible to quantitatively analyse the features of respiration and disease (Nguyen et al. 2022).

The physician uses a stethoscope to distinguish abnormal lung sounds from normal ones to detect disease. Since lung sounds are much smaller and lower in frequency than the sounds humans hear in daily life, it is difficult for physicians with little experience in auscultation to distinguish the features of abnormal sounds specific to each disease. Much experience is necessary to derive highly accurate diagnostic results from lung sounds (Pramono et al. 2017). In addition, if the physician performing the auscultation gets old, it becomes increasingly difficult to accurately distinguish lung sounds due to age-related hearing loss. A highly accurate diagnostic method is required to provide accurate auscultation for physicians, regardless of skills. It should be independent of their physical abilities.

Digital stethoscopes and analog stethoscopes have one thing in common: they both listen for sound by pressing the chestpiece, which is the

sound-collecting part, against an area close to the organ where sound is generated. Since the sound is heard differently depending on how the chestpiece is held, a certain skill is required to hear the sound accurately. The previous study (Li et al. 2016) (Zulfiqar et al. 2021) used machine learning to identify patients with lung disease with high accuracy from respiration sounds and adventitious sounds collected by digital sound collection technology. The previous study (Brown et al. 2020) used machine learning to predict with high accuracy whether a patient is positive for coronavirus based on respiration and coughing sounds. However, these studies have not clarified how to present abnormalities comprehensively to patients as well as to physicians. Researchers (Gurung et al. 2011) showed that the Fourier transform and neural network algorithms can classify wheeze and fine crackle pronunciations present in adventitious sound with about 80% accuracy. Though neural networks often identify with high accuracy, they are computationally expensive to run. They require powerful computing environments which is difficult for many clinics to prepare. This technique compromises the advantage of simple and rapid auscultation with a stethoscope.

## **LUNG SOUND ANALYSIS WITH DIGITAL STETHOSCOPE**

### **Target Disease of the Study**

Human lung sounds consist of respiratory sounds and adventitious sounds. Respiratory sounds occur due to normal respiration, while adventitious sounds are abnormal sounds produced by respiratory movements when a patient is suffering from a lung disease (Sarkar et al. 2015). Adventitious sounds are heard with different features depending on the part of the body where the sound originates. Adventitious sounds are classified into rales and other adventitious sounds because the proportion of rales is high.

Some of the rales are continuous, while others are discontinuous. They are roughly classified into the following four categories (Bohadana et al. 2014) : coarse crackle with coarse intermittency rale features, fine crackle with fine discontinuity rale features, wheeze with high pitch continuity rale feature, and Rhonchi with bass continuity rale features.

In this study, interstitial pneumonia is targeted as a disease that can be estimated by auscultation using a digital stethoscope. Normal vesicular respiratory sounds consist of sounds below about 1200 Hz, with sound peaking mainly below about 100 Hz and a sharp drop in sound energy between about 100~200 Hz (Sarkar et al. 2015). Fine crackles listened to in interstitial pneumonia consist of sounds of approximately 60~2000 Hz (Sarkar et al. 2015).

### **Mel-Spectrogram**

In this study, short-time Fourier transform is applied to lung sound data obtained from a stethoscope. In the short-time Fourier transform, the spectrum, which is the result of Fourier transforming a signal at a certain time point, is arranged continuously along the time axis. An image representation of these is called a spectrogram.

The spectrogram consists of time, frequency components, and intensity of them. A spectrogram allows us to visualize the time variation of the frequency features of a particular sound (Aviles-Solis et al. 2020). It enables us to capture the features of the sound visually. However, since lung sounds are generally small in amplitude and low in frequency, the features obtained by auscultation are concentrated in the range of small intensity and low frequency. It is difficult to visually present the features of lung sounds as differences in color.

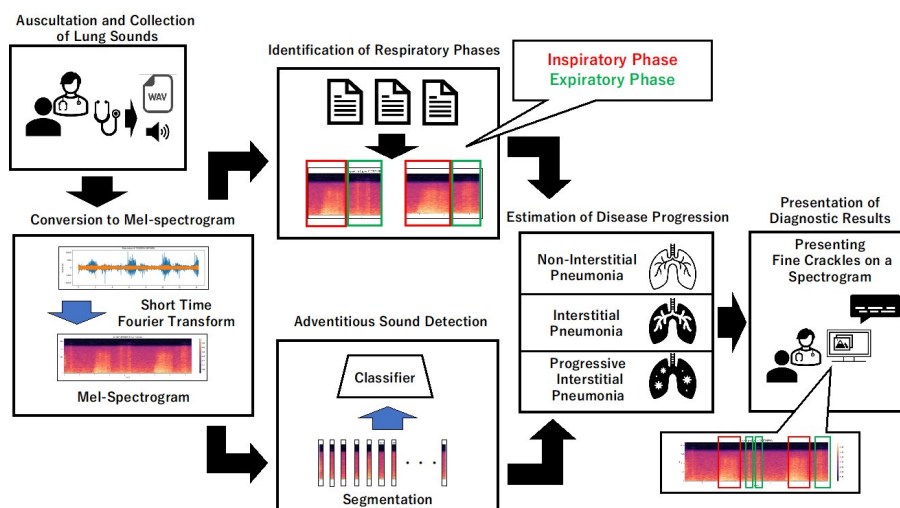
To extract low frequency features, a log-spectrogram applies a logarithmic scale to the amplitude of the spectrogram. The study utilizes a mel-spectrogram, which considers that human hearing is sensitive to low-frequency sounds and insensitive to high-frequency sounds. In the mel-spectrogram, the frequencies of the spectrogram are converted to mel, a measure of the perceived height of sound in human hearing, by applying a filter called a mel filter bank to the spectrogram. A mel filter bank achieves higher resolution for the lower frequency components while lower resolution for the higher frequency components.

## ASSISTING NON-SPECIALISTS IN DIAGNOSING INTERSTITIAL PNEUMONIA

### Diagnosis Based on Disease Progression

In this study, disease and degree of disease progression are estimated from abnormal sounds in lung sounds heard with a digital stethoscope. The study also proposes a presentation method of detection results to assist non-specialists to diagnose objectively. Figure 1 shows a schematic diagram of the proposed diagnostic method.

First, the physician listens to lung sounds from the patient using a digital stethoscope to sample the discrete signal data. The mel-spectrogram is generated by applying a short-time Fourier transform, filtering, and logarithmic



**Figure 1:** Diagnostic system to assist non-specialists.

scaling. The spectrogram is divided equally into fine segments. The method detects adventitious sound in the segment to identify the period during which the fine crackles occurred.

In the early stage of interstitial pneumonia, fine crackles generally appear only in the inspiratory phases. To examine it, the physician generally instructs the patient on the timing of respiration during auscultation. The collected lung sound data can be labeled with the start and end times of the inspiratory and expiratory phases by the physician's instructions. The labels are used as the time information of the respiratory phases. Integrating the time when the adventitious sound is detected with the time information of the respiratory phase, we can determine whether the adventitious sound is generated in the inspiratory phase or the expiratory phase. It contributes to the estimation of the degree of progression of the disease.

In addition to the results of estimating the presence and progression of the disease, the proposed method visually highlights the periods when adventitious sound is detected by framing them on the spectrogram. These are the diagnostic results presented to the non-specialist physician and the patient.

### **Conversion to Mel-Spectrogram**

The study obtains spectrograms from lung sounds. It extracts frequency features of lung sounds effectively, by converting them into the log scale, along with the application of the mel filter bank. The results are referred to as mel-spectrograms. The mel-spectrogram is used as an explanatory variable of a machine learning algorithm to search for adventitious sound. In addition, a visualized mel-spectrogram is presented together with the diagnostic results. It is used as an element to promote understanding of the diagnostic results to the physician and the patient.

### **Adventitious Sound Detection From Image-Represented Lung Sounds**

Instead of using the spectrogram of a single patient as it is, the proposed method divides the mel-spectrogram into segments of equal size along the time axis. Each segment is used as a single piece of data. All segments are combined with the time information of the original lung sound data. The spectrogram of lung sounds in patients with lung diseases has unique frequency features. Adventitious sound is detected by building a classification model in which the segment data are used as explanatory variables with a supervised machine-learning algorithm.

### **Identification of Respiratory Phases**

In actual medical practice, it is assumed that a physician listens to about 3 to 5 respirations during auscultation of a single patient. The patient's lung sound data includes the inspiratory phase and the expiratory phase. During the auscultation, the physician instructs the patient to start each respiration. This allows the physician to mark the start and end times of the patient's inspiratory and expiratory phases.

## Estimation of Disease Progression

The proposed method uses the information obtained in 3.3 and 3.4 to estimate whether the examinee has a disease and the progression of the disease. The progression is estimated by whether the time information given to the segment of the detected adventitious sound coincides with the duration of a particular respiratory phase.

In the interstitial pneumonia addressed in this study, patients are diagnosed free from interstitial pneumonia when fine crackles do not occur during the respiratory phase. Patients are doubted interstitial pneumonia when fine crackles occur during the inspiratory phase. If the fine crackles are observed only in the expiratory phase, the diagnosis of non-interstitial pneumonia is made on the assumption that the fine crackles are observed due to another disease or noise. The diagnosis of a patient with severe interstitial pneumonia with progressive symptoms is made when fine crackles are detected in the inspiratory and expiratory phases.

The results of the diagnosis and the spectrogram of lung sound data prepared in 3.1 are presented to the physician and the patient to promote understanding of the diagnosis by the physician and the patient.

## EXPERIMENTS

### Outline of the Experiment

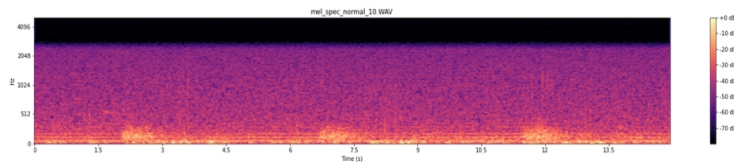
Lung sound data are collected from 10 healthy subjects and 17 patients with interstitial pneumonia. In this experiment, a skilled respiratory specialist listens to lung sounds using a digital stethoscope. All lung sound data are recorded by the specialist for the duration of the respiratory phase and for the presence of fine crackles. The presence of fine crackles is used as the correct label for adventitious sound detection.

The feature extraction method described in 3.2 is applied to the acquired lung sound data to verify whether the machine learning algorithm can discriminate fine crackles. This experiment uses XGboost, which often achieves relatively high accuracy without requiring a large amount of computational processing. For the detection of fine crackles using XGboost, the validity of the proposed detection method is confirmed with the detection accuracy which is one of the performance indices.

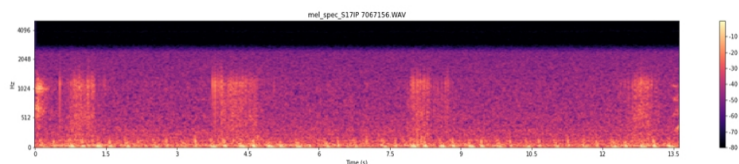
### Frequency Feature Extraction

In order to use the mel-spectrogram of the lung sound data, a short-time Fourier transform is applied to all lung sound data using librosa (McFee et al. 2020), a speech processing library. The parameters of the short-time Fourier transform are set to 2048 for the window size, 512 for the shift width, and Hanning window for the window function. The converted mel-spectrograms of the lung sound data are images of 256 pixels and 1584 pixels in height and width, respectively. The format is standardized for all subjects.

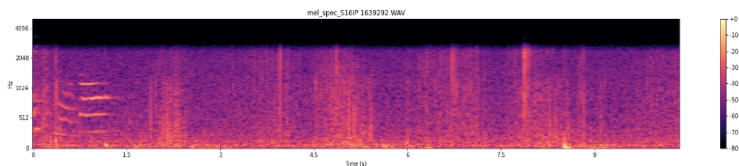
The spectrograms generated are shown below. Figure 2 shows a mel-spectrogram of normal lung sound, Figure 3 shows a mel-spectrogram of



**Figure 2:** Mel-spectrogram of normal lung sound.



**Figure 3:** Mel-spectrogram of interstitial pneumonia lung sound.



**Figure 4:** Mel-spectrogram of progressive interstitial pneumonia lung sound.

Interstitial pneumonia lung sound, while Figure 4 shows a mel-spectrogram of progressive Interstitial pneumonia lung sound.

On the mel-spectrogram of patients with interstitial pneumonia, longitudinal peaks of short duration are observed, leading from a low-frequency component to a high-frequency component. Referring to the time of onset of the fine crackles recorded by the physician at the time of diagnosis, the visually observed peak coincides with the fine crackles. It is confirmed that the mel-spectrogram can extract the frequency features of the fine crackles.

### Fine Crackles Detection

12 data from patients with interstitial pneumonia and 8 data from healthy subjects are used to detect fine crackles. The process of classification is shown below.

1. convert spectrogram image to grayscale,
2. segment gray-scaled spectrograms at specific intervals,
3. convert a 2D segment to a 1D segment,
4. separate all segment data into training data, validation data, and test data,
5. generate a fine crackles classifier, using training and validation data,
6. predict data for testing with the fine crackles classifier, and
7. evaluate classification performance based on evaluation indicators

In the detection of fine crackles, the spectrogram is divided equally, considering the feature that the fine crackles are Discontinuous short sounds. machine learning requires that all explanatory variables have the same number of dimensions. For this purpose, the time axis of the mel-spectrogram is equally divided to generate segments of 256 pixels and 12 pixels in height and width, respectively. The segments are generated at intervals of less than 0.1 second per segment for one subject, which makes 132 segments in total.

Based on the onset time of the fine crackles recorded by the specialist physician, segments containing the fine crackles are labeled with 1 while segments without the fine crackles are labeled with 0. The set of labeled segments is divided into training data, validation data, and test data, to build and evaluate machine learning algorithms. The ratio of training data to validation data is 8:2. Test data for evaluating the generalizability of the model are randomly left out from the pre-training data so that the ratio of training data to test data is 8:2. Making the label proportion uniform, 348, 87, and 109 segments are used for training, validation, and test, respectively.

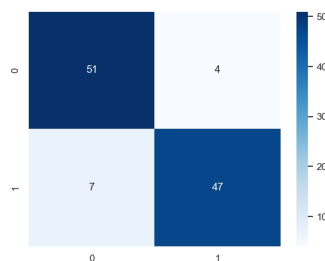
The confusion matrix, the F1-score, the precision, and the recall are used as evaluation indices for the classification model. Test data including healthy subjects and patients with interstitial pneumonia are fed to the constructed model to check whether the model correctly classifies them.

## DISCUSSION

### Detecting Fine Crackles

Figure 5 shows confusion matrix of the predictions made by the classification model on the test data. The figure shows the correspondence between the labels predicted by the classifier and the correct labels in terms of numbers, where 1 in the figure indicates fine crackles while 0 indicates a non-fine-crackles segment. The values of each of the evaluation indices described in previous chapter are calculated to show the test results of the classification of the samples. The values are shown in Table 1. Table 2 shows the percentage of correct answers for the training and the test data.

The non-fine-crackles are classified with a precision of 0.88 and a recall of 0.93. The fine crackles are classified with a precision of 0.92 and a recall of 0.87. The model has achieved 0.90 both in the F1-score and the accuracy.



**Figure 5:** Confusion matrix.

**Table 1.** Recall, precision, and f1-score of the classification model.

	precision	recall	f1-score
non-crackles	0.88	0.93	0.90
crackles	0.92	0.87	0.90

**Table 2.** Accuracy for train and test data.

	train	test
accuracy	0.93	0.89

For this test data, the classification model classifies fine crackles and non-fine crackles with an F1-score of about 0.90 with little difference in their precision and recall, which indicates that the model represents the features of fine crackles and non-fine crackles without bias. The accuracy is slightly higher for the training data than for the test data, indicating a slight overfitting of the model. However, since the percentage of correct answers for the test data is above 0.8, the model is regarded to achieve generalization fairly well.

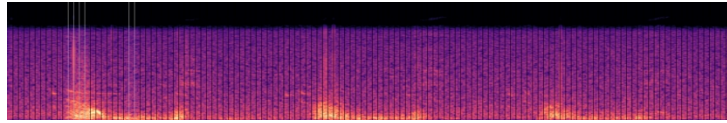
From the results of this experiment, it turns out that the fine crackles, an adventitious sound of interstitial pneumonia, can be detected from the frequency features of the lung sound collected with a digital stethoscope. Table 5.1 shows that the recall is slightly lower than the precision for the fine crackles classification model. This may be due to the fact that the label data for fine crackles includes a small number of non-fine crackles. In this experiment, the time when the fine crackles feature appears in the patient's lung sounds is marked by the expert physician to be used as a training label. Since the fine crackles are discontinuous and short, there are segments labeled as ones containing fine crackles even though they contain no fine crackle. It is difficult for the classification model to classify them accurately.

When physicians diagnose patients suspected of having a disease, they should avoid missing patients with a disease. We should detect spectrograms with even a small suspicion of fine crackles. It is desirable to create a model that is higher in recall than in precision. The trend of precision and recall often varies with the parameters specified at building the model. The parameters should be tuned to construct a model with a higher recall. In addition, the recall would be increased with a new method of segmenting spectrograms to detect features that have even a small possibility of fine crackles.

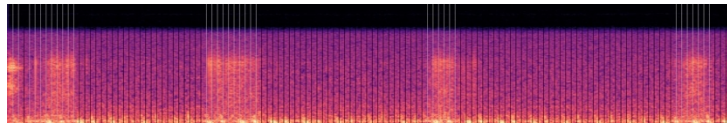
### Estimation of Disease Progression

One healthy subject, one patient with early interstitial pneumonia, and one patient with interstitial postural pneumonia with advanced symptoms are prepared to detect fine crackles. In addition, it is checked whether it is possible to highlight fine crackles that are the basis of detecting the disease. Figures 6, 7, and 8 show a visualization of the fine crackles for each test data.

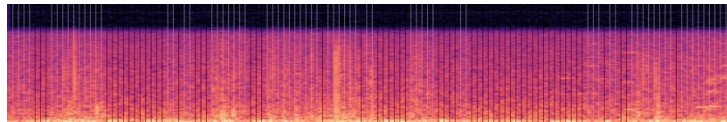




**Figure 6:** Visualization results of normal lung sound.



**Figure 7:** Visualization results of interstitial pneumonia lung sound.



**Figure 8:** Visualization results of progressive interstitial pneumonia lung sound.

On a mel-spectrogram with grid lines drawn for the time width of the segment, the segment containing the detected fine crackles is surrounded with colored lines. In the mel-spectrogram of a normal subject shown in Figure 6, there are a few peaks containing high-frequency components that visually resemble the features of fine crackles. However, only about 3.0% of the total segments are detected as ones containing fine crackles. All other segments are classified as ones without fine crackles. Frequency features other than high-frequency components are also considered to contribute to the classification of fine crackles.

For the patient with early interstitial pneumonia shown in Figure 7, it is confirmed that fine crackles are detected during inspiration phases. Since it is consistent with the general features of interstitial pneumonia, the classification model is considered to cover the characteristics of the disease to be detected. However, a few segments outside of the inspiratory phase are classified as ones with fine crackles. It is necessary to investigate whether these are erroneous judgments by the learned model or very small features of fine crackles that physicians would miss.

In the mel-spectrogram of the interstitial pneumonia patient with progressive symptoms shown in Figure 8, it is confirmed that fine crackles are detected in the periods of the inspiratory and the expiratory phases. Though noise including high-frequency components is heard near the beginning of auscultation, the segments around the noise are classified as ones without fine crackles. Since such noise is correctly discriminated, the proposed method is independent of noise with features different from those of fine crackles.

## CONCLUSION

In this study, to assist non-specialists in auscultation, abnormal sounds that are criteria for a disease are detected in the lung sound collected with digital stethoscopes. In addition, the respiratory phase in which the abnormal sound appears is identified using the marks made by the physician. The paper has confirmed that it is possible to estimate the degree of progression of the disease, investigating the percentage of respiratory phases in which abnormal sounds occur. In addition, the proposed method visualizes the evidence of diseases to assist non-specialists in diagnosis.

In the experiment, it is verified the proposed method is effective in detecting fine crackles, which are abnormal sounds specific to interstitial pneumonia. The results show that the classification accuracy of the fine crackles is 0.89.

It is expected that the method will not only reduce the burden on non-specialist physicians, but also increase patients' satisfaction with the results of medical examinations.

In the future, we will investigate a method to automatically determine the respiratory phase based on frequency and time series features. Since the number of data is currently small, additional experiments should be conducted to collect more lung sound data from healthy subjects and patients. It would enable the proposed method to be robust with noise and to detect other diseases.

## ACKNOWLEDGMENT

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